Outline Introduction FOL Formalization

### Mathematical Logic Reasoning in First Order Logic

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#### Outline Introduction FOL Formalization

### 1 Introduction

- Well formed formulas
- Free and bounded variables

### 2 FOL Formalization

- Simple Sentences
- FOL Interpretation
- Formalizing Problems
  - Graph Coloring Problem
  - Data Bases

### Alphabet and formation rules

- Logical symbols:  $\bot, \land, \lor, \rightarrow, \neg, \forall, \exists, =$
- Non Logical symbols:
  - a set  $c_1, ..., c_n$  of constants a set  $f_1, ..., f_m$  of functional symbols a set  $P_1, ..., P_m$  of relational symbols
- Terms *T*:
  - $T := c_i |x_i| f_i(T, ..., T)$
- Well formed formulas W:

$$W := T = T|P_i(T, ... T)| \bot |W \land W| W \lor W|$$
$$W \to W| \neg W| \forall x. W |\exists x. W$$

### Non Logical symbols

```
constants a, b; functions f^1, g^2; predicates p^1, r^2, q^3.
```

#### Examples

Say whether the following strings of symbols are well formed formulas or terms:

- q(a);
- p(y);
- p(g(b));
- $\neg r(x, a);$
- q(x, p(a), b);
- p(g(f(a), g(x, f(x))));
- q(f(a), f(f(x)), f(g(f(z), g(a, b))));
- r(a, r(a, a));

### Non Logical symbols

```
constants a, b; functions f^1, g^2; predicates p^1, r^2, q^3.
```

#### Examples

Say whether the following strings of symbols are well formed formulas or terms:

- r(a,g(a,a));
- g(a,g(a,a));
- $\forall x. \neg p(x);$
- $\neg r(p(a), x);$
- ∃a.r(a, a);
- $\exists x.q(x, f(x), b) \rightarrow \forall x.r(a, x);$
- $\exists x.p(r(a,x));$
- $\forall r(x, a);$

#### Non Logical symbols

```
constants a, b; functions f^1, g^2; predicates p^1, r^2, q^3.
```

#### Exercises

Say whether the following strings of symbols are well formed formulas or terms:

- $a \rightarrow p(b);$ •  $r(x, b) \rightarrow \exists y.q(y, y, y);$ •  $r(x, b) \lor \neg \exists y.g(y, b);$ •  $\neg y \lor p(y);$ •  $\neg \neg p(a);$ •  $\neg \forall x. \neg p(x);$ •  $\forall x \exists y.(r(x, y) \rightarrow r(y, x));$
- $\forall x \exists y.(r(x,y) \rightarrow (r(y,x) \lor (f(a) = g(a,x))));$

A free occurrence of a variable x is an occurrence of x which is not bounded by a  $\forall x$  or  $\exists x$  quantifier.

A variable x is free in a formula  $\phi$  (denoted by  $\phi(x)$ ) if there is at least a free occurrence of x in  $\phi$ .

A variable x is bounded in a formula  $\phi$  if it is not free.

### Free variables

#### Non Logical symbols

```
constants a, b; functions f^1, g^2; predicates p^1, r^2, q^3.
```

#### Examples

Find free and bounded variables in the following formulas:

- $p(x) \wedge \neg r(y, a)$
- $\exists x.r(x,y)$
- $\forall x.p(x) \rightarrow \exists y. \neg q(f(x), y, f(y))$
- $\forall x \exists y.r(x, f(y))$
- $\forall x \exists y.r(x, f(y)) \rightarrow r(x, y)$

### Free variables

#### Non Logical symbols

```
constants a, b; functions f^1, g^2; predicates p^1, r^2, q^3.
```

#### Exercises

Find free and bounded variables in the following formulas:

- $\forall x.(p(x) \rightarrow \exists y. \neg q(f(x), y, f(y)))$
- $\forall x(\exists y.r(x,f(y)) \rightarrow r(x,y))$
- $\forall z.(p(z) \rightarrow \exists y.(\exists x.q(x,y,z) \lor q(z,y,x)))$
- $\forall z \exists u \exists y.(q(z, u, g(u, y)) \lor r(u, g(z, u)))$
- $\forall z \exists x \exists y (q(z, u, g(u, y)) \lor r(u, g(z, u)))$

### Free variables

#### Intuitively..

Free variables represents individuals which must be instantiated to make the formula a meaningful proposition.

- Friends(Bob, y) y free
- $\forall y. Friends(Bob, y)$  no free variables
- *Sum*(*x*, 3) = 12 *x* free
- $\exists x.(Sum(x,3) = 12)$  no free variables
- $\exists x.(Sum(x, y) = 12)$  y free

## FOL: Intuitive Meaning

- bought(Frank, dvd)
   "Frank bought a dvd."
- ∃x.bought(Frank, x)
   "Frank bought something."
- ∀x.(bought(Frank, x) → bought(Susan, x))
   "Susan bought everything that Frank bought."
- ∀x.bought(Frank, x) → ∀x.bought(Susan, x)
   "If Frank bought everything, so did Susan."
- ∀x∃y.bought(x, y)
   "Everyone bought something."
- ∃x∀y.bought(x, y)
   "Someone bought everything."

## FOL: Intuitive Meaning

#### Example

Which of the following formulas is a formalization of the sentence: "There is a computer which is not used by any student"

- $\exists x.(Computer(x) \land \forall y.(\neg Student(y) \land \neg Uses(y, x)))$
- $\exists x.(Computer(x) \rightarrow \forall y.(Student(y) \rightarrow \neg Uses(y, x)))$
- $\exists x.(Computer(x) \land \forall y.(Student(y) \rightarrow \neg Uses(y, x)))$

### Common mistake ..

"Everyone studying at DISI is smart." ∀x.(At(x, DISI) → Smart(x)) and NOT ∀x.(At(x, DISI) ∧ Smart(x)) "Everyone studies at DISI and everyone is smart"
"Someone studying at DISI is smart." ∃x.(At(x, DISI) ∧ Smart(x)) and NOT ∃x.(At(x, DISI) → Smart(x)) which is true if there is anyone who is not at DIT.

### Common mistake.. (2)

Quantifiers of different type do NOT commute  $\exists x \forall y. \phi$  is not the same as  $\forall y \exists x. \phi$ 

### Example

- ∃x∀y.Loves(x, y)
   "There is a person who loves everyone in the world."
- $\forall y \exists x. Loves(x, y)$

"Everyone in the world is loved by at least one person."

- All Students are smart.
   ∀x.(Student(x) → Smart(x))
- There exists a student.
   ∃x.Student(x)
- There exists a smart student ∃x.(Student(x) ∧ Smart(x))
- Every student loves some student  $\forall x.(Student(x) \rightarrow \exists y.(Student(y) \land Loves(x, y)))$
- Every student loves some other student.
   ∀x.(Student(x) → ∃y.(Student(y) ∧ ¬(x = y) ∧ Loves(x, y)))

- There is a student who is loved by every other student.  $\exists x.(Student(x) \land \forall y.(Student(y) \land \neg(x = y) \rightarrow Loves(y, x)))$
- Bill is a student. Student(Bill)
- Bill takes either Analysis or Geometry (but not both). Takes(Bill, Analysis) ↔ ¬Takes(Bill, Geometry)
- Bill takes Analysis and Geometry. Takes(Bill, Analysis) ∧ Takes(Bill, Geometry)
- Bill doesn't take Analysis.
  - $\neg Takes(Bill, Analysis)$

- No students love Bill.
   ¬∃x.(Student(x) ∧ Loves(x, Bill))
- Bill has at least one sister.
   ∃x.SisterOf(x, Bill)
- Bill has no sister.
   ¬∃x.SisterOf(x, Bill)
- Bill has at most one sister.  $\forall x \forall y. (SisterOf(x, Bill) \land SisterOf(y, Bill) \rightarrow x = y)$
- Bill has (exactly) one sister.  $\exists x.(SisterOf(x, Bill) \land \forall y.(SisterOf(y, Bill) \rightarrow x = y))$
- Bill has at least two sisters.
   ∃x∃y.(SisterOf(x, Bill) ∧ SisterOf(y, Bill) ∧ ¬(x = y))

- Every student takes at least one course.  $\forall x.(Student(x) \rightarrow \exists y.(Course(y) \land Takes(x, y)))$
- Only one student failed Geometry.  $\exists x.(Student(x) \land Failed(x, Geometry) \land \forall y.(Student(y) \land Failed(y, Geometry) \rightarrow x = y))$
- No student failed Geometry but at least one student failed Analysis. ¬∃x.(Student(x) ∧ Failed(x, Geometry)) ∧ ∃x.(Student(x) ∧ Failed(x, Analysis))
- Every student who takes Analysis also takes Geometry.
   ∀x.(Student(x) ∧ Takes(x, Analysis) → Takes(x, Geometry))

#### Exercises

Define an appropriate language and formalize the following sentences in FOL:

- someone likes Mary.
- nobody likes Mary.
- nobody loves Bob but Bob loves Mary.
- if David loves someone, then he loves Mary.
- if someone loves David, then he (someone) loves also Mary.
- everybody loves David or Mary.

#### Exercises

Define an appropriate language and formalize the following sentences in FOL:

- there is at least one person who loves Mary.
- there is at most one person who loves Mary.
- there is exactly one person who loves Mary.
- there are exactly two persons who love Mary.
- if Bob loves everyone that Mary loves, and Bob loves David, then Mary doesn't love David.
- Only Mary loves Bob.

### Example

Define an appropriate language and formalize the following sentences in FOL:

- "A is above C, D is on E and above F."
- "A is green while C is not."
- "Everything is on something."
- "Everything that has nothing on it, is free."
- "Everything that is green is free."
- "There is something that is red and is not free."
- "Everything that is not green and is above B, is red."

### Non Logical symbols

Constants: A, B, C, D, E, F; Predicates:  $On^2, Above^2, Free^1, Red^1, Green^1$ .

- "A is above C, D is above F and on E."  $\phi_1 : Above(A, C) \land Above(D, F) \land On(D, E)$
- "A is green while C is not."  $\phi_2$ : Green(A)  $\land \neg$  Green(C)
- "Everything is on something."
   φ<sub>3</sub>: ∀x∃y.On(x, y)
- "Everything that has nothing on it, is free."  $\phi_4: \forall x.(\neg \exists y.On(y,x) \rightarrow Free(x))$

### Non Logical symbols

Constants: A, B, C, D, E, F; Predicates:  $On^2, Above^2, Free^1, Red^1, Green^1$ .

- "Everything that is green is free."  $\phi_5: \forall x.(Green(x) \rightarrow Free(x))$
- "There is something that is red and is not free."  $\phi_6: \exists x.(Red(x) \land \neg Free(x))$
- "Everything that is not green and is above B, is red."  $\phi_7: \forall x.(\neg Green(x) \land Above(x, B) \rightarrow Red(x))$



### An interpretation $\mathcal{I}_1$ in the Blocks World



#### Interpretation $\mathcal{I}_1$

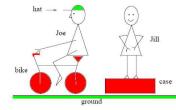
- $\mathcal{I}_1(A) = b_1$ ,  $\mathcal{I}_1(B) = b_2$ ,  $\mathcal{I}_1(C) = b_3$ ,  $\mathcal{I}_1(D) = b_4$ ,  $\mathcal{I}_1(E) = b_5$ ,  $\mathcal{I}_1(F) = table$
- $\mathcal{I}_1(On) = \{ \langle b_1, b_4 \rangle, \langle b_4, b_3 \rangle, \langle b_3, table \rangle, \langle b_5, b_2 \rangle, \langle b_2, table \rangle \}$
- $\mathcal{I}_1(Above) = \{ \langle b_1, b_4 \rangle, \langle b_1, b_3 \rangle, \langle b_1, table \rangle, \langle b_4, b_3 \rangle, \langle b_4, table \rangle, \langle b_3, table \rangle, \langle b_5, b_2 \rangle, \langle b_5, table \rangle, \langle b_2, table \rangle \}$
- $\mathcal{I}_1(Free) = \{ \langle b_1 \rangle, \langle b_5 \rangle \}, \mathcal{I}_1(Green) = \{ \langle b_4 \rangle \}, \mathcal{I}_1(Red) = \{ \langle b_1 \rangle, \langle b_5 \rangle \}$

Outline Introduction FOL Formalization Simple Sentences FOL Interpretation Formalizing Problems

## A different interpretation $\mathcal{I}_2$

Non Logical symbols

Constants: A, B, C, D, E, F; Predicates: On<sup>2</sup>, Above<sup>2</sup>, Free<sup>1</sup>, Red<sup>1</sup>, Green<sup>1</sup>.



#### Interpretation $\mathcal{I}_2$

- $\mathcal{I}_2(A) = hat$ ,  $\mathcal{I}_2(B) = Joe$ ,  $\mathcal{I}_2(C) = bike$ ,  $\mathcal{I}_2(D) = Jill$ ,  $\mathcal{I}_2(E) = case$ ,  $\mathcal{I}_2(F) = ground$
- $\mathcal{I}_2(On) = \{ \langle hat, Joe \rangle, \langle Joe, bike \rangle, \langle bike, ground \rangle, \langle Jill, case \rangle, \langle case, ground \rangle \}$
- $\mathcal{I}_2(Above) = \{ \langle hat, Joe \rangle, \langle hat, bike \rangle, \langle hat, ground \rangle, \langle Joe, bike \rangle, \langle Joe, ground \rangle, \langle bike, ground \rangle, \langle Jill, case \rangle, \langle Jill, ground \rangle, \langle case, ground \rangle \}$
- $\mathcal{I}_2(Free) = \{ \langle hat \rangle, \langle Jill \rangle \}, \mathcal{I}_2(Green) = \{ \langle hat \rangle, \langle ground \rangle \}, \mathcal{I}_2(Red) = \{ \langle bike \rangle, \langle case \rangle \}$

#### Example

For each of the following formulas, decide whether they are satisfied by  $\mathcal{I}_1$  and/or  $\mathcal{I}_2:$ 

- $\phi_1$ : Above(A, C)  $\land$  Above(D, F)  $\land$  On(D, E)
- $\phi_2$  : Green(A)  $\land \neg$  Green(C)
- $\phi_3: \forall x \exists y. On(x, y)$
- $\phi_4: \forall x.(\neg \exists y.On(y,x) \rightarrow Free(x))$
- $\phi_5: \forall x.(Green(x) \rightarrow Free(x))$
- $\phi_6$  :  $\exists x.(Red(x) \land \neg Free(x))$
- $\phi_7$ :  $\forall x.(\neg Green(x) \land Above(x, B) \rightarrow Red(x))$

### Sol.

• 
$$\mathcal{I}_1 \models \neg \phi_1 \land \neg \phi_2 \land \neg \phi_3 \land \phi_4 \land \neg \phi_5 \land \neg \phi_6 \land \phi_7$$

•  $\mathcal{I}_2 \models \phi_1 \land \phi_2 \land \neg \phi_3 \land \phi_4 \land \neg \phi_5 \land \phi_6 \land \phi_7$ 

#### Example

Consider the following sentences:

- (1) All actors and journalists invited to the party are late.
- (2) There is at least a person who is on time.
- (3) There is at least an invited person who is neither a journalist nor an actor.

Formalize the sentences and prove that (3) is not a logical consequence of (1) and (2)  $% \left( \left( 1,1\right) \right) =\left( \left( 1,1\right) \right) \right)$ 

#### Example

Consider the following sentences:

- All actors and journalists invited to the party are late. (1)  $\forall x.((a(x) \lor j(x)) \land i(x) \to l(x))$
- There is at least a person who is on time.
   (2) ∃x.¬l(x)
- There is at least an invited person who is neither a journalist nor an actor.
   (3) ∃x.(i(x) ∧ ¬a(x) ∧ ¬j(x))

It's sufficient to find an interpretation  $\ensuremath{\mathcal{I}}$  for which the logical consequence does not hold:

	l(x)	a(x)	j(x)	i(x)
Bob	F	Т	F	F
Tom	Т	Т	F	Т
Mary	Т	F	Т	Т

#### Exercise

Let  $\Delta = \{1, 3, 5, 15\}$  and  $\mathcal{I}$  be an interpretation on  $\Delta$  interpreting the predicate symbols  $E^1$  as 'being even',  $M^2$  as 'being a multiple of' and  $L^2$  as 'being less then', and s.t.  $\mathcal{I}(a) = 1, \mathcal{I}(b) = 3, \mathcal{I}(c) = 5, \mathcal{I}(d) = 15$ . Determine whether  $\mathcal{I}$  satisfies the following formulas:

 $\begin{array}{lll} \exists y.E(y) & \forall x.\neg E(x) & \forall x.M(x,a) & \forall x.M(x,b) & \exists x.M(x,d) \\ \exists x.L(x,a) & \forall x.(E(x) \rightarrow M(x,a)) & \forall x \exists y.L(x,y) & \forall x \exists y.M(x,y) \\ \forall x.(M(x,b) \rightarrow L(x,c)) & \forall x \forall y.(L(x,y) \rightarrow \neg L(y,x)) \\ \forall x.(M(x,c) \lor L(x,c)) \end{array}$ 

### Graph Coloring Problem

Provide a propositional language and a set of axioms that formalize the graph coloring problem of a graph with at most n nodes, with connection degree  $\leq m$ , and with less then k + 1 colors.

- node degree: number of adjacent nodes
- connection degree of a graph: max among all the degree of its nodes
- Graph coloring problem: given a non-oriented graph, associate a color to each of its nodes in such a way that no pair of adjacent nodes have the same color.

## Graph Coloring: FOL Formalization

### FOL Language

- A unary function color, where color(x) is the color associated to the node x
- A unary predicate node, where node(x) means that x is a node
- A binary predicate edge, where edge(x, y) means that x is connected to y

### FOL Axioms

Two connected node are not equally colored:  $\forall x \forall y.(edge(x, y) \rightarrow (color(x) \neq color(y))$  (1)

A node does not have more than k connected nodes:

$$\forall x \forall x_1 \dots \forall x_{k+1} . \left( \bigwedge_{h=1}^{k+1} \mathsf{edge}(x, x_h) \to \bigvee_{i, j=1, j \neq i}^{k+1} x_i = x_j \right)$$
(2)

### Graph Coloring: Propositional Formalization

#### Prop. Language

- For each  $1 \le i \le n$  and  $1 \le c \le k$ , color<sub>ic</sub> is a proposition, which intuitively means that "the *i*-th node has the c color"
- For each  $1 \le i \ne j \le n$ , edge<sub>ij</sub> is a proposition, which intuitively means that "the *i*-th node is connected with the *j*-th node".

#### Prop. Axioms

- for each 1 ≤ i ≤ n, V<sup>k</sup><sub>c=1</sub> color<sub>ic</sub>
   "each node has at least one color"
- for each  $1 \le i \le n$  and  $1 \le c, c' \le k$ ,  $color_{ic} \to \neg color_{ic'}$ "every node has at most 1 color"
- for each 1 ≤ i, j ≤ n and 1 ≤ c ≤ k, edge<sub>ij</sub> → ¬(color<sub>ic</sub> ∧ color<sub>jc</sub>) "adjacent nodes do not have the same color"
- for each 1 ≤ i ≤ n, and each J ⊆ {1..n}, where |J| = m, ∧<sub>j∈J</sub> edge<sub>ij</sub> → ∧<sub>j∉J</sub> ¬edge<sub>ij</sub> "every node has at most m connected nodes"

When the language  $\mathcal{L}$  and the domain of interpretation  $\Delta$  are finite, and  $\mathcal{L}$  doesn't contain functional symbols (relational language), there is a strict analogy between FOL and databases.

- ullet relational symbols of  $\mathcal L$  correspond to database schema (tables)
- $\Delta$  corresponds to the set of values which appear in the tables
- $\bullet$  the interpretation  ${\mathcal I}$  corresponds to the tuples that belongs to each relation
- $\bullet\,$  formulas on  ${\cal L}$  corresponds to queries over the database
- $\bullet$  interpretation of formulas of  ${\cal L}$  corresponds to answers

Simple Sentences FOL Interpretation Formalizing Problems

### Analogy with Databases

FOL	DB		
friends	CREATE TABLE FRIENDS (friend1 : INTEGER		
	friend2 : INTEGER)		
friends(x, y)	SELECT friend1 AS x friend2 AS y		
	FROM FRIENDS		
friends(x,x)	SELECT friend1 AS x		
	FROM FRIENDS		
	WHERE friend1 = friend2		
$friends(x, y) \land x = y$	SELECT friend1 AS x friend2 AS y		
	FROM FRIENDS		
	WHERE friend1 = friend2		
$\exists x. friends(x, y)$	SELECT friend2 AS y		
	FROM FRIENDS		

#### Example

Consider the following database schema:

- Students(Name, University, OriginT, LiveT)
- Universities(Name, Town)
- Town(Name, Country)

Express each of the following queries in FOL formulas with free variables.

- 1 Give Names of students living in Trento
- 2 Give Names of students studying in a university in Trento
- 3 Give Names of students living in their origin town
- 4 Give (Name, University) pairs for each student studying in Italy
- $5\,$  Give all Country that have at least one university for each town.

#### Example

Consider the following database schema:

- Students(Name, University, OriginT, LiveT)
- Universities(Name, Town)
- Town(Name, Country)

Express each of the following queries in FOL formulas with free variables.

- 1 Give Names of students living in Trento  $\exists y \exists z.Students(x, y, z, Trento)$
- 2 Give Names of students studying in a university in Trento  $\exists y \exists z \exists v.(Students(x, y, z, v) \land Universities(y, Trento))$
- 3 Give Names of students living in their origin town  $\exists y \exists z.Students(x, y, z, z)$

#### Example

Consider the following database schema:

- Students(Name, University, OriginT, LiveT)
- Universities(Name, Town)
- Town(Name, Country)

Express each of the following queries in FOL formulas with free variables.

- 4 Give (Name, University) pairs for each student studying in Italy  $\exists z \exists v \exists w.(Students(x, y, z, v) \land Universities(y, w) \land Town(w, Italy)$
- 5 Give all Country that have at least one university for each town.  $\forall x.(Town(x,y) \rightarrow \exists z.Universities(z,x))$

#### Exercise

Consider the following database schema

- Lives(Name, Town)
- Works(Name,Company,Salary)
- Company\_Location(Company,Town)
- Reports\_To(Name, Manager)

(you may use the abbreviations L(N,T), W(N,C,S), CL(C,T), and R(N,M)). Express each of the following queries in first order formulas with free variables.

- 1 Give (Name, Town) pairs for each person working for Fiat.
- 2 Find all people who live and work in the same town.
- 3 Find the maximum salary of all people who work in Trento.
- 4 Find the names of all companies which are located in every city that has a branch of Fiat