

# Structural Relationships for Large-Scale Learning of Answer Re-ranking

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## ABSTRACT

Supervised learning applied to answer re-ranking can highly improve on the overall accuracy of question answering (QA) systems. The key aspect is that the relationships and properties of the question/answer pair composed of a question and the supporting passage of an answer candidate, can be efficiently compared with those captured by the learnt model. In this paper, we define novel supervised approaches that exploit structural relationships between a question and their candidate answer passages to learn a re-ranking model. We model structural representations of both questions and answers and their mutual relationships by just using an off-the-shelf shallow syntactic parser. We encode structures in Support Vector Machines (SVMs) by means of sequence and tree kernels, which can implicitly represent question and answer pairs in huge feature spaces. Such models together with the latest approach to fast kernel-based learning enabled the training of our rerankers on hundreds of thousands of instances, which previously rendered intractable for kernelized SVMs. The results on two different QA datasets, e.g., Answerbag and Jeopardy! data, show that our models deliver large improvement on passage re-ranking tasks, reducing the error in Recall of BM25 baseline by about 18%. One of the key findings of this work is that, despite its simplicity, shallow syntactic trees allow for learning complex relational structures, which exhibits a steep learning curve with the increase in the training size.

## Categories and Subject Descriptors

I.2.7 [Natural Language Processing]: [Language parsing and understanding, Text analysis]

## General Terms

Algorithms, Experimentation

## Keywords

Question Answering, Kernel Methods, Large-Scale Learning, Support Vector Machines, Structural Kernels

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## 1. INTRODUCTION

A critical step for the design of Question Answering (QA) systems is the passage retrieval and ranking module as even the most powerful approach to answer extraction would inevitably fail if applied to an input passage that either contains no correct answer or simply is not adequate for supporting its correctness. Traditional approaches exploit similarity measures between a question and an answer passage but of course they show obvious limitations, e.g., the passage: *Ron Paul is not the president of the U.S.*, is neither the correct passage nor contains the right answer to the question, *Who is the president of the U.S.?* but it would be easily ranked in the first position according to word overlap measures. TREC QA track <sup>1</sup> has shown that models using syntactic structures can help to overcome the limitations of pure similarity-based models. Unfortunately, syntactic representations are difficult to design when the answer is supported by multiple sentences as (i) discourse processing still requires development to reach a sufficient level of performance and (ii) language models taking into account the dependencies between words spanning several sentences (i.e., long distance dependencies) are rather difficult to model. For example, let us consider a real question-answer pair from the Answerbag community-based QA collection<sup>2</sup> (we will use it as a running example throughout the rest of the paper):

Q1: *Is movie theater popcorn vegan?*

A1: *Any movie theater popcorn that includes butter and therefore dairy products is not vegan. However, the popcorn kernels alone can be considered vegan if popped using canola, coconut or other plant oils which some theaters offer as an alternative to standard popcorn.*

An individual analysis of the two answer sentences produces both a positive and a negative piece of evidence making the answer passage candidate unreliable (and thus it would be ranked lower<sup>3</sup> than other candidates). In contrast, the availability of the cross-sentential structure *popcorn is not vegan, however* would suggest that the candidate is promising as it solves the contradiction. Unfortunately, handcrafting these patterns or rules is not cost-effective and typically requires to replicate the effort when the application domain changes.

A viable alternative is to apply supervised methods to learn answer/passage rerankers, e.g., [35, 15]. Nevertheless,

<sup>1</sup><http://trec.nist.gov/data/qa.html>

<sup>2</sup><http://www.answerbag.com>

<sup>3</sup>Very simple models would unknowingly rank it in top position for the repeated presence of the words popcorn and vegan.

machine learning algorithms applied to similarity scores between questions and answers would not be able to learn patterns such as the one above. In contrast, in [23], we proposed to apply structural kernels [28] to the syntactic representation of question/answer passage pairs. However, such model could only take into account very short passages composed of only one sentence and the experiments were carried out on a small dataset of about 2,000 examples. Most importantly, we did not consider the relational information linking question and answer passages.

In this paper, we define novel relational structural models for passage re-ranking in QA systems based on supervised machine learning. We train our models using structural representations of question and answer passages, which can include multiple sentences. We utilize an off-the-shelf shallow syntactic parser to group words in sentences' constituents, which in turn are organized in a tree structures. Based on the naïve syntactic matching between the word lemmas of a given question and its candidate answer, we mark the corresponding constituents in the resulting tree to capture similar semantic relationships between the two. This way mutual structural relationships between a question and its answer is made available in the tree nodes. To effectively and efficiently use such structures in Support Vector Machines (SVMs), we apply structural kernels, e.g., sequence and tree kernels.

We experimented with our models and the fast SVM algorithm for structural kernels, which allowed us to train our rerankers on datasets up to hundreds of thousands instances. The results on two different QA datasets: Answerbag and Jeopardy! show that our models deliver significant improvements on passage re-ranking tasks, highly improving two different baselines, i.e., reducing the error in Recall by about 18-20% of BM25 and Watson primary search [11], respectively. The very interesting aspect is that the learning curves show that (i) complex relational structures can be learned using large data and (ii) further improvement is possible using more data.

In the remainder of the paper, Section 2 reports on the related work, Section 3 introduces kernel methods for structured data, Section 4 presents our models for re-ranking passages/answers, including the question/answer relational model, Section 5 illustrates our experiments and finally Section 6 derives our conclusions.

## 2. RELATED WORK

The study carried out in this paper primarily focuses on the use of shallow syntactic/semantic structures for training answer re-ranking with large data. Early related work on the use of syntax and semantics in Information Retrieval was carried out in [38, 39, 32] and in [34, 33]. The results showed that the use of advanced linguistic information was not effective for document retrieval. In contrast, QA work shows that semantics and syntax are essential to retrieve concise answers, e.g., [14, 40, 31]. However, successful approaches in TREC-style systems were based on several interconnected modules exploiting complex heuristics and fine tuning. The effective combination of such modules was strongly dependent on manual setting, which often remained undisclosed.

In our study, we focus on passage re-ranking that can be plugged into virtually any QA system. It can also be directly used as a back-end reranker for ranking non-factoid answers. Reranking can be seen as a typical text categoriza-

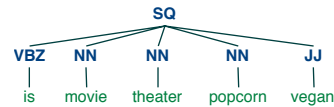


Figure 1: A shallow syntactic tree

tion task, i.e., the classification of pairs of text fragments constituted by a question and an answer or pairs of questions, e.g., [25, 15]. In this context, a large body of work regards definition/description questions, e.g., [4, 6, 29, 1, 23, 35].

In [6], answer ranks were computed based on the probabilities of bigram language models generating candidate answers. Language modeling was also applied to definitional QA in [9] to learn soft pattern models based on bigrams. Other related work, such as [26, 36], was also very tied to bag-of-words features. Our approach is different from the above as we are able to automatically learn structural relationships between a question and candidate answer passages. Such relationships have also been targeted in [10] by creating ad-hoc joint question-answer representations. Additionally, [29, 1, 35] report significant improvement by exploiting expensive linguistic approaches, e.g., predicate argument structures, for re-ranking candidate answer lists. Our work in [23, 21] was the first to exploit kernel methods for modeling answer re-ranking using syntactic and shallow semantic tree kernels based on predicate argument structures. However, our method lacked the use of important relational information between a question and a candidate answer, which is essential to learn accurate relational patterns. Additionally, the low computational efficiency of such approach prevented its application to large datasets. In contrast, we integrate our shallow tree re-ranking models within a much more efficient training algorithm for SVMs with structural kernels, which allows us to carry out experiments on much larger datasets (several hundred thousands examples). Along with our relational representation this appears to be the key aspect for the high improvement over basic models. Finally, models for factoid questions using linguistic structures has been carried out in [2, 3]. Again, the proposed methods rely on manual design of features whereas our approach is more general for passages and/or answer re-ranking.

In summary, the main distinctive property of our approach with respect to the previous work adopting syntactic and semantic structures is that we can define the latter without requiring neither a tedious manual linguistic analysis nor computationally expensive linguistic processors. We do not carry out feature engineering since we simply let kernel functions automatically generate very large feature sets (tree fragments or substrings) to effectively represent structural syntactic/semantic information found in the question/answer pairs. Moreover, our models can be trained on larger datasets, which allows for high improvement over the basic QA system.

Finally, structural kernels have been applied for several natural language tasks, e.g., syntactic parsing [8, 17], Semantic Role Labeling [19, 12, 13, 22], Relation Extraction [24, 42], Pronominal Coreference [37], Recognizing Textual Entailment (RTE) [18] and text categorization [5].

## 3. KERNELS FOR STRUCTURED DATA

Kernel methods and structural kernels, in particular, are



Figure 2: Some tree fragments generated by STK

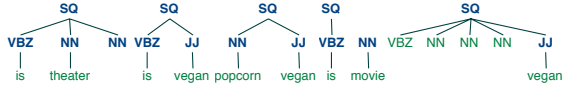


Figure 3: Some tree fragments generated by PTK

very effective means for automatic feature engineering for natural language texts. In kernel machines both learning and classification algorithms only depend on the ability to evaluate an inner product between instances, which corresponds to computing a similarity score. In several cases, the latter can be efficiently and implicitly handled by kernel functions by exploiting the following dual formulation:  $\sum_{i=1..l} y_i \alpha_i \phi(o_i) \phi(o) + b = 0$ , where  $o_i$  and  $o$  are two objects,  $\phi$  is a mapping from the objects to feature vectors  $\vec{x}_i$  and  $\phi(o_i) \phi(o) = K(o_i, o)$  is a kernel function implicitly defining such mapping. In case of structural kernels,  $K$  determines the shape of the substructures describing the objects above. The most general kind of kernels used in NLP are string kernels (SKs), e.g., [28], the Syntactic Tree Kernels (STKs) [8] and the Partial Tree Kernels (PTKs) [20].

### 3.1 String Kernels

The String Kernels (SK) that we consider count the number of subsequences shared by two strings of symbols,  $s_1$  and  $s_2$ . Some symbols during the matching process can be skipped. This modifies the weight associated with the target substrings as shown by the following SK equation:

$$SK(s_1, s_2) = \sum_{u \in \Sigma^*} \phi_u(s_1) \cdot \phi_u(s_2) = \sum_{u \in \Sigma^*} \sum_{\vec{I}_1: u=s_1[\vec{I}_1]} \sum_{\vec{I}_2: u=s_2[\vec{I}_2]} \lambda^{d(\vec{I}_1)+d(\vec{I}_2)} \quad (1)$$

where,  $\Sigma^* = \bigcup_{n=0}^{\infty} \Sigma^n$  is the set of all strings,  $\vec{I}_1$  and  $\vec{I}_2$  are two sequences of indexes  $\vec{I} = (i_1, \dots, i_{|u|})$ , with  $1 \leq i_1 < \dots < i_{|u|} \leq |s|$ , such that  $u = s_{i_1} \dots s_{i_{|u|}}$ ,  $d(\vec{I}) = i_{|u|} - i_1 + 1$  (distance between the first and last character) and  $\lambda \in [0, 1]$  is a decay factor.

It is worth noting that: (a) longer subsequences receive lower weights; (b) some characters can be omitted, i.e., gaps; (c) gaps determine a weight since the exponent of  $\lambda$  is the number of characters and gaps between the first and last character; and (c) the complexity of the SK computation is  $O(mnp)$  [28], where  $m$  and  $n$  are the lengths of the two strings, respectively and  $p$  is the length of the largest subsequence we want to consider.

SK applied to a sequence can derive useful dependencies between its elements. For example, from the sequence of words, of the question Q1, we can define the sequence  $[[\text{is}] [\text{movie}] [\text{theater}] [\text{popcorn}] [\text{vegan}]]$ , which generates the subsequences,  $[[\text{is}] [\text{movie}]]$ ,  $[[\text{is}] [\text{theater}] [\text{vegan}]]$ ,  $[[\text{is}] [\text{vegan}]]$ ,  $[[\text{movie}] [\text{popcorn}] [\text{vegan}]]$  and so on. Note that this corresponds to a language model over words using skip n-grams.

### 3.2 Tree Kernels

Convolution Tree Kernels compute the number of common substructures between two trees  $T_1$  and  $T_2$  without explicitly considering the whole fragment space. For this purpose, let the set  $\mathcal{F} = \{f_1, f_2, \dots, f_{|\mathcal{F}|}\}$  be a tree fragment space and  $\chi_i(n)$  be an indicator function, equal to 1 if the target  $f_i$  is rooted at node  $n$  and equal to 0 otherwise. A tree-kernel function over  $T_1$  and  $T_2$  is

$$TK(T_1, T_2) = \sum_{n_1 \in N_{T_1}} \sum_{n_2 \in N_{T_2}} \Delta(n_1, n_2),$$

where  $N_{T_1}$  and  $N_{T_2}$  are the sets of the  $T_1$ 's and  $T_2$ 's nodes, respectively and  $\Delta(n_1, n_2) = \sum_{i=1}^{|\mathcal{F}|} \chi_i(n_1) \chi_i(n_2)$ . The latter is equal to the number of common fragments rooted in the  $n_1$  and  $n_2$  nodes. The  $\Delta$  function determines the richness of the kernel space and thus different tree kernels. Hereafter, we consider the equation to evaluate STK and PTK<sup>4</sup>.

#### 3.2.1 Syntactic Tree Kernels (STK)

To compute STK is enough to compute  $\Delta_{STK}(n_1, n_2)$  as follows (recalling that since it is a syntactic tree kernels, each node can be associated with a production rule): (i) if the productions at  $n_1$  and  $n_2$  are different then  $\Delta_{STK}(n_1, n_2) = 0$ ; (ii) if the productions at  $n_1$  and  $n_2$  are the same, and  $n_1$  and  $n_2$  have only leaf children then  $\Delta_{STK}(n_1, n_2) = \lambda$ ; and (iii) if the productions at  $n_1$  and  $n_2$  are the same, and  $n_1$  and  $n_2$  are not pre-terminals then  $\Delta_{STK}(n_1, n_2) = \lambda \prod_{j=1}^{l(n_1)} (1 + \Delta_{STK}(c_{n_1}^j, c_{n_2}^j))$ , where  $l(n_1)$  is the number of children of  $n_1$  and  $c_n^j$  is the  $j$ -th child of the node  $n$ . Note that, since the productions are the same,  $l(n_1) = l(n_2)$  and the computational complexity of STK is  $O(|N_{T_1}| |N_{T_2}|)$  but the average running time tends to be linear, i.e.,  $O(|N_{T_1}| + |N_{T_2}|)$ , for natural language syntactic trees [20].

For example, Figure 1 shows the shallow syntactic tree of the question Q1. This is a flat tree encoding part-of-speech tags and words. Figure 2 shows some of its fragments generated by STK, highlighting an important limitation on the type of substructures it can generate: production rules, i.e., children of a node cannot be split in the substructures.

#### 3.2.2 The Partial Tree Kernel

The computation of PTK is carried out by the following  $\Delta_{PTK}$  function: if the labels of  $n_1$  and  $n_2$  are different then  $\Delta_{PTK}(n_1, n_2) = 0$ ; else  $\Delta_{PTK}(n_1, n_2) =$

$$\mu \left( \lambda^2 + \sum_{\vec{I}_1, \vec{I}_2, l(\vec{I}_1)=l(\vec{I}_2)} \lambda^{d(\vec{I}_1)+d(\vec{I}_2)} \prod_{j=1}^{l(\vec{I}_1)} \Delta_{PTK}(c_{n_1}(\vec{I}_{1j}), c_{n_2}(\vec{I}_{2j})) \right)$$

where  $d(\vec{I}_1) = \vec{I}_{1l(\vec{I}_1)} - \vec{I}_{11}$  and  $d(\vec{I}_2) = \vec{I}_{2l(\vec{I}_2)} - \vec{I}_{21}$ . This way, PTK penalizes both larger trees and child subsequences with gaps. PTK is more general than STK, e.g., the shared subsequences containing all children of nodes implement STK. The computational complexity of PTK is  $O(p\rho^2 |N_{T_1}| |N_{T_2}|)$  [20], where  $p$  is the largest subsequence of children that we want consider and  $\rho$  is the maximal outdegree observed in the two trees. However, the average running time again tends to be linear for natural language syntactic trees [20].

PTK can generate any subset of connected nodes of a tree  $T$ , whose edges are in  $T$ . For example, Fig. 3 shows the fragments of the tree in Fig. 1. Note that each fragment

<sup>4</sup>To have a similarity score between 0 and 1, a normalization in the kernel space, i.e.  $\frac{TK(T_1, T_2)}{\sqrt{TK(T_1, T_1) \times TK(T_2, T_2)}}$  is applied.

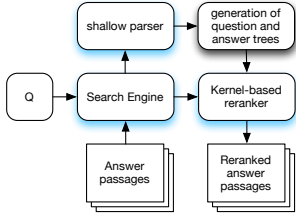


Figure 4: Kernel-based Answer Passage Reranking System

captures dependencies between different groups of word categories and words. This can generalize the language model over words using POS-tags.

## 4. ANSWER PASSAGE RANKING WITH STRUCTURAL RELATIONSHIPS

The architecture of our system is rather simple as displayed in Figure 4: given a question  $Q$ , a search engine retrieves a list of passages ranked by their relevancy. The answer passage retrieval component is fully unsupervised and relies on some scoring model to retrieve most relevant answer passages for a given question. Next, the question together with its candidate answers are processed by a shallow parser that produces a set of annotations, e.g., POS-tags and chunks, for each sentence. These annotations are then used to convert question/answer pairs into various tree structures presented in Sec. 4.2. The obtained pairs are then fed as an input to the kernel based reranker described in the next section. The system outputs a reordered list of answer passages that is supposed to improve the original ranking obtained from the search engine.

### 4.1 Preference Ranking

Our re-ranking model is an SVM trained to select the best candidate from a given candidate set. To use kernels we apply preference learning [25]. In the preference kernel approach [30], the re-ranking problem – learning to pick the correct candidate  $h_1$  from a candidate set  $\{h_1, \dots, h_k\}$  – is reduced to a binary classification problem by creating *pairs*: positive training instances  $\langle h_1, h_2 \rangle, \dots, \langle h_1, h_k \rangle$  and negative instances  $\langle h_2, h_1 \rangle, \dots, \langle h_k, h_1 \rangle$ . This training set can then be used to train a binary classifier. At classification time, pairs are not formed (since the correct candidate is not known); instead, the standard one-versus-all binarization method is applied. Within this kernel-based learning framework offered by SVMs, we can use kernel functions that provide effective means to model the *differences* between the objects representing question/answer pairs. If we have a valid kernel  $K(\cdot, \cdot) = \langle \phi(\cdot), \phi(\cdot) \rangle$  over the candidate space  $\mathcal{T}$ , we can construct a preference kernel  $P_K$  over the space of pairs  $\mathcal{T} \times \mathcal{T}$  as follows:  $P_K(x, y) = P_K((x_1, x_2), (y_1, y_2)) =$

$$\begin{aligned} &= \langle \phi(x_1) - \phi(x_2), \phi(y_1) - \phi(y_2) \rangle = \\ &= K(x_1, y_1) + K(x_2, y_2) - K(x_1, y_2) - K(x_2, y_1), \end{aligned} \quad (2)$$

where  $x, y \in \mathcal{T} \times \mathcal{T}$ . It is easy to show [30] that  $P_K$  is also a valid Mercer kernel. This makes it possible to use kernel methods to train the reranker. We explore innovative kernels  $K$  to be used in Eq. 2:  $K(x_i, y_j) = r(x_i) \times r(y_j) + DK(x_i, y_j)$ , where  $r(\cdot)$  is the inverse of the position to which an answer is ranked by the base model (e.g., a search engine) and  $DK$  is the summation of a structural kernel, i.e., SK, STK or PTK,

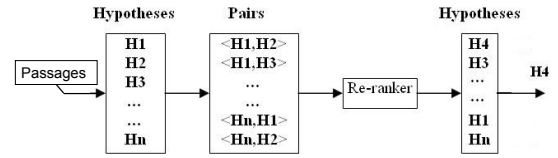


Figure 5: Preference Reranking

applied to both question and answer, respectively. More formally, given  $x_i = (a_{x_i}, q_{x_i})$  and  $y_j = (a_{y_j}, q_{y_j})$  the representations of two question and answer pairs,  $DK(x_i, y_j) = S(a_{x_i}, a_{y_j}) + S(q_{x_i}, q_{y_j})$ , where  $S$  is SK, STK or PTK.

The structural kernels applied individually to a given question and a candidate answer passage would generate many structural features, yet fail to capture important features relating the question/answer pair as one object. Hence, it is important for the learning algorithm that exploits the expressive power of structural kernels to have a representation where it can also learn the important features relating a question and an answer as a single pair. In the next sections we discuss a simple strategy to establish such a link between a question and an answer using computationally efficient and robust methods.

### 4.2 Shallow Syntactic/Semantic Models for Relation Learning

The goal of this paper is to learn rerankers that are accurate, robust to noise and scale well with the size of the data available for training. To fulfill these requirements this section introduces several efficient and robust representations for a question and answer pairs.

#### 4.2.1 Shallow Parsing and Semantic Tagging

We derive our representations with a shallow syntactic parser by only considering part-of-speech (POS) tagging and chunking. The former associates words with their grammatical categories (e.g., *movie*  $\rightarrow$  *noun*  $\rightarrow$  NN, *is*  $\rightarrow$  *verb*  $\rightarrow$  VBZ) whereas a chunker groups the words in a sentence into syntactic constituents without specifying their internal structure and their syntactic role (e.g., *can be considered*  $\rightarrow$  *verbal phrase*  $\rightarrow$  VP).

Our representations exhibit the following important characteristics: (i) the pre-processing stage for extracting features from questions and answers is efficient; (ii) manual feature engineering is avoided by using expressive structural kernels; and (iii) our approach is easily adaptable to diverse QA collections, domains and languages as it only relies on shallow syntactic parsers. For example, state-of-the-art POS-taggers and chunkers are also available in special domains, e.g., biomedicine and many languages other than english.

Additionally, we explore the use of semantic information extracted by named entity recognizers (NERs) or by Wordnet super sense (WNSS) taggers. NER can detect sequences of words constituting predefined categories such as names, organizations, locations, etc. (e.g., *Paul McCartney*  $\rightarrow$  *person*, *U.S.*  $\rightarrow$  *location*). WNSS assigns words to one of 41 broad semantic categories derived from Wordnet super sense classes<sup>5</sup>. Typically, NERs implement Conditional Random Field sequence models, while WNSS taggers are Naïve Bayes or Maximum Entropy classifiers. Hence, both NERs and

<sup>5</sup><http://wordnet.princeton.edu/man/lexnames.5WN.html>

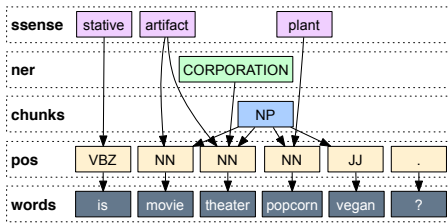


Figure 6: Annotation graph obtained from a shallow parser for the example question Q1.

WNSS taggers are more computationally demanding preprocessing tasks. Below, we describe a variety of models for representing question-answer pairs that rely only on the shallow syntactic and semantic analysis described above.

#### 4.2.2 Shallow representations of questions and answer passages

As the first preprocessing step, each question and its answer passage are split into sentences and tokenized. Next, we run a shallow parser, which annotates each token in the sentence with four types of tags: POS, chunks, NER and WNSS tags. As a shallow parser we used SST-light [7] tagger<sup>6</sup>, which carries out tokenization, POS-tagging, NER, WNSS tagging and lemmatization, which is useful to increase the matching probability between the words in the question and the answer.

An example of annotation graph obtained for the question of our running example Q1 is provided in Fig. 6. Each word is tagged with its POS tags. Words in the phrase **movie theater popcorn vegan** are organized into a single chunk NP. Also the parser finds an NE, **theater**, which is annotated with the tag **CORPORATION**. Typically, NER tags are fairly scarce, while WNSS has better coverage of words in a sentence on average, but many of its semantic categories are rather general. A similar graph can be obtained for each sentence in the answer. It conveniently allows for experimenting with different representations of question-answer pairs.

When structural kernels are applied to the shallow tree in Fig. 6 (we consider a tree root connecting the top POS-tag nodes or the top chunk nodes), they can generate rich features, i.e., n-grams combining tags and words, from the question or from the answer passages. However, to allow kernels capture relations between a question and its answer passage, we need to establish relational links between them. For this purpose, we adopt the following simple strategy: we mark the parent node (POS-tags) of matching leaf nodes (i.e., lemmas) with a special placeholders **REL** in both question and all the sentences of its corresponding answer passage. This way, we are able to derive a joint representation of the question and the answer, where the **REL** nodes represent the most essential parts of the pair.

An example of this procedure between the question Q1 and the first sentence of its answer is shown on the Fig. 8. The **REL** nodes provide the means for the structural kernels, especially STK and PTK, to generate highly discriminative features that carry an extra cue about the structural relations between the question and the answer. For example, PTK can extract the question pattern, **is noun<sup>+</sup>-REL adj-**

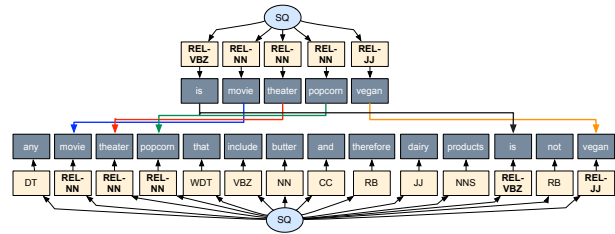


Figure 8: Question and answer sequences aligned by lexical matching on word lemmas. Matched nodes are marked with a special REL marker.

REL together with the answer pattern<sup>7</sup> **any noun<sup>+</sup>-REL is not adj-REL**. These two patterns, when found together in a new question/answer pair, may indicate that the answer is relevant to the question, e.g., **any BMW series is not cheap** may be an answer for **Is BMW series one cheap?**. Additionally, meaningful pairs of grounded adjectives (related but not identical), i.e., (adj<sub>1</sub>, adj<sub>2</sub>), can be generally learned since the substructure POS-tag node and its child (i.e., the word) is part of the PTK space (although they are sparser patterns). For example, **any BMW series is not cheap** can be used to answer the question **Is BMW series one expensive?** if patterns like **any noun<sup>+</sup>-REL is not cheap** and **is noun<sup>+</sup>-REL expensive** co-occur in answer/question pairs of training data.

#### 4.2.3 Structure Pruning

Providing an efficient representation for the answer passages that contain multiple sentences is not a trivial task. We deal with this problem by simply putting trees representing individual sentences of the passage under a single common root. Since answer passages typically consist of more than two sentences each 10 to 20+ words long the resulting shallow tree may be very large. This makes structural kernels that consider all possible substructures inefficient for such large trees. To alleviate such problem, we propose a simple yet efficient pruning strategy, which reduces the size of the tree down to a manageable size, thus gaining substantial computational savings while preserving the accuracy.

A straightforward approach is to prune away all the nodes that are not marked by the **REL** tag, as they presumably play insignificant role in relating question and its answer passage. However, intuitively, the nodes that surround the relational nodes may carry important contextual information, which can help the learning algorithm to generalize better. Hence, we also keep the nodes that are within a specified distance from the relational nodes (i.e., the ray of pruning is an additional parameter of our models). An example of pruning with ray equal to 1 between example question Q1 and the first sentence of the answer is shown in Fig. 9. The result is a shorter version of the original sentence while preserving the most essential parts (nodes marked with **REL** tag) that encode relational information between the answer and the question. Additionally, the nodes that surround the **REL** tag within the specified distance can serve as a source of additional contextual features. Since questions are typically one sentence long and contain fewer words than answers, we only apply pruning on answer passages.

<sup>7+</sup> is the operator of a regular expression meaning at least one occurrence.

<sup>6</sup><http://sourceforge.net/projects/supersensetag/>

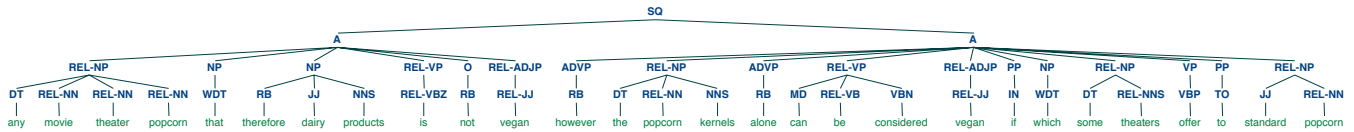


Figure 7: Representation of the entire answer passage using CH+REL model with pruning (ray=1)

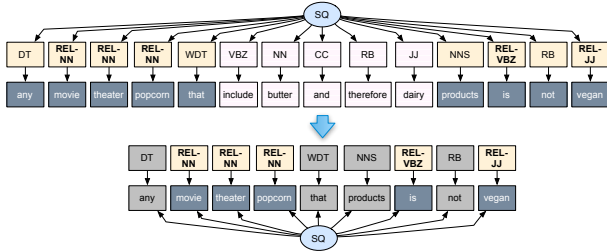


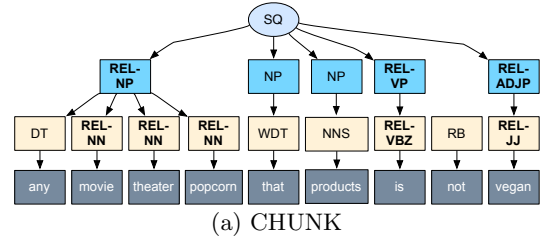
Figure 9: Pruning with ray 1. Original sentence (top) and its pruned version (bottom). Nodes in the middle of the top sequence are pruned away, while the gray-shaded nodes of the bottom sentence are kept as the context.

#### 4.2.4 Chunked Representation

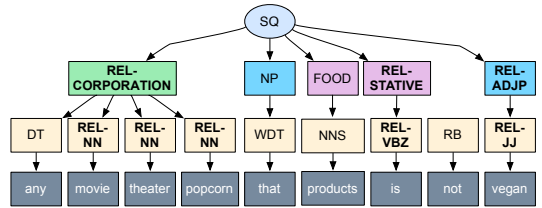
Next, we consider the model using chunker tags (CH). Chunks introduce additional level into the shallow tree hierarchy by organizing syntactically related words into constituents. We propagate the REL tag from the relational POS-tags up to their parent chunk nodes. Here we prune the tree on the chunk level, i.e., we keep only the chunk nodes and its subtrees that are within the specified distance from the chunks marked with REL. This results in fewer nodes being pruned compared to POS-tags based pruning, but at the same time, it preserves all contextual words that appear in the same chunk as the matched words. Another very important advantage is that the tree kernel can generate more effective features to characterize the role of relational constituents. For example, Fig. 10(a) reports the shallow tree for the answer sentence reorganized into chunks. The noun phrase *any movie theater popcorn* generalizes the patterns exemplified above thus allowing longer dependency patterns, e.g., NP is not adj. Fig. 7 demonstrates the entire answer passage organized in a shallow tree after pruning with radius 1. Thanks to the effective pruning, which allows for preserving important properties and at the same time reducing the size of the inter-sentence structure, our kernels can extract important long distance dependency patterns such as: NP not adj however alone can be considered adj, which encode shallow discourse relations.

#### 4.2.5 Semantic Tags

We also consider shallow structures enhanced with additional semantics from NER, and WNSS tags (Fig. 10(b)). If any of the words in a chunk have a NER tag, we propagate it up to the parent chunk tag. If there are multiple words having NER tags inside the same chunk we use the last one. Since NER tags are fairly scarce, we also consider the model where NER tags are augmented with additional WNSS tags (see Fig. 10(b)). For example, when the super sense is used (and considering the adjective grounded in *vegan*), the following pattern is also automatically generated *food not vegan however alone can be considered vegan*.



(a) CHUNK



(b) NER+WNSS

Figure 10: (a) Pruned answer trees with constituents re-organized in chunks. REL marker is propagated up from POS to chunk nodes. (b) Enhanced representation with additional semantics from NER and WNSS.

### 4.3 Efficient training of the relational ranking model

As discussed in Section 4.1, the task of learning a kernel-based re-ranker using supervised classifiers such as SVMs can be reduced to a problem of binary classification. While this approach allows for the application of a wide range of binary classifiers it may require to carry out learning on very large datasets, since for each question in the training set we need to form all combinations of question answer pairs between the correct and candidate answer passages. Even when the number of candidate answers per question is relatively small, for example 10, the number of training examples generated for the binary classifier increases by an order of magnitude.

Hence, to learn a re-ranker on medium sized datasets (from thousands to tens of thousands of questions) would require to train binary classifiers on datasets of hundreds of thousands to millions of examples. While this poses little limitation for the SVMs with linear kernels for which efficient  $O(n)$  learning algorithms have been recently introduced, using SVMs with non-linear kernels, structural kernels in particular, can represent a major computational challenge.

Kernelized SVMs scale quadratically with the number of training examples which prohibits their application on large data. This is why previous research that focused on application of non-linear kernels to question-answer re-ranking tasks has reported experiments on very small datasets (several thousands of examples).

The major bottleneck for the application of SVMs with structural kernels stems from the necessity to carry out learning in the dual space. Training can be seen as an iterative process that requires to compute an inner product be-

tween the current model represented by the weight vector  $\vec{w}$  and a training example  $\vec{o}_i$ . It can be shown (see, for example, [27]) that this involves quadratic number of kernel evaluations (Eq. 2) at each iteration, which makes the overall scaling behavior of the learning algorithm  $O(n^2)$ . In this paper, we adopt a Cutting Plane Algorithm with sampling originally introduced in [41]. The algorithm uses sampling to effectively reduce the number of kernel evaluations while preserving theoretical bounds on accuracy and convergence. We follow the method in [27] developed for SVM learning with structural kernels, which has been shown to provide substantial speedups in training over conventional training methods for non-linear kernels. The Cutting Plane Algorithm also allows for easy parallelization, which makes it possible to experiment with larger data.

## 5. EXPERIMENTS

To test the efficacy of our re-ranking approach based on relational features, we conduct experiments on two diverse QA collections: Answerbag and Jeopardy!. For the Answerbag dataset, we built the entire answer passage re-ranking system as outlined in Fig. 4. Unlike the Answerbag collection, the Jeopardy! dataset already comes with ranked answer passages for each questions.

### 5.1 Setup

To train our re-rankers we used uSVM-TK software, which implements highly efficient Cutting Plane Algorithm with sampling [27] within the framework of SVM-Light-TK [20, 16]. This enables the use of structural kernels, e.g., SK, STK and PTK (see Sec. 3.2). We extended the software to handle re-ranking on pairs of multiple trees corresponding to question-answer pairs. We used default parameters to favor replicability of our results.

We ran all the experiments on a machine equipped with 12 CPUs Intel<sup>®</sup> Xeon<sup>®</sup> 2.93GHz CPUs carrying 98Gb of RAM under Linux. The uSVM-TK software allows for training and testing in parallel mode; the reported runtimes refer to the use of four CPUs.

To measure the accuracy of our re-ranker system, we used two metrics: Recall of 1 at rank=1 (R1@1) i.e., the percentage of questions with at least a correct answer ranked at the top position of the candidate list, and Mean Reciprocal Rank (MRR) computed as follows:  $MRR = \frac{1}{|Q|} \sum_{i=1}^{|Q|} \frac{1}{rank_i}$ , where  $rank_i$  is the position of the correct answer in the candidate list.

### 5.2 Corpora

**Answerbag.** The first corpus we experimented with represents a large sample of Answerbag QA collection<sup>8</sup>. This community-driven Web QA collection is very active and is similar to other popular QA sites such as Yahoo! Answers<sup>9</sup> and WikiAnswers<sup>10</sup>. One of the reasons that makes this site attractive for its users is that it contains a very large portion of questions tagged as “professionally researched”. For this type of questions, website moderators provide succinct and exhaustive answers, which makes it ideal for building a high quality question answering corpus. We scraped 180,000 question-answer pairs from 20 different categories.

<sup>8</sup><http://www.answerbag.com>

<sup>9</sup><http://answers.yahoo.com>

<sup>10</sup><http://wiki.answers.com>

As an answer retrieval component for this collection, we use an off-the-shelf search engine: Terrier<sup>11</sup> using BM25 scoring function with other settings set to default. We retain top 15 candidates for each question. We omit questions for which the search engine failed to retrieve a correct answer in the top 15 positions, since these cases carry no value for training or evaluation of our re-ranker models. This leaves us with roughly 60% of the questions from the original data for which the correct answer is found in the top 15 positions. From this set of questions we form training sets of increasing size starting from 25k and up to 750k pairs. For testing, we use 30k re-ranking examples which corresponds to roughly 2k questions.

**Jeopardy!** The second dataset represents a small subset of the output from the Primary Search retrieval component of IBM Watson QA system<sup>12</sup> built specifically to provide answers for questions from the Jeopardy! challenge<sup>13</sup>. Different from the setting for the Answerbag collection, where we had to use our own passage retrieval system, here each question is provided together with the set of answer passages ranked by Watson. This allows us to compare our re-ranker to a very strong baseline (IBM Watson is a state-of-the-art QA system). The collection is composed of 517 questions where each question comes with 50 candidate passages. Unlike the Answerbag dataset, most of the questions in this collection have more than one relevant answer passage. On average there are 6 relevant out 50 candidate passages for each question. We use 70% (259 questions) for training and 30% (116 questions) for testing. Since each question has multiple correct answer passages, to create a training set, we paired up each correct answer with all incorrect candidates. As a result we obtain 63,361 examples for training and 5,706 testing.

### 5.3 Accuracy on Answerbag

Table 1 summarizes the results for various models described in the Section 4.2 trained on the set of 25,000 examples (1,676 questions). W stands for bag-of-words, POS - bag-of-POS-tags, REL - bag-of-REL nodes, CH indicates models with sentence constituents organized in chunks, PR-1 indicates pruning with ray 1. NER corresponds to tags obtained from named-entity recognizer and WNSS are tags from homonymous tagger.

**Bags of features.** As expected models that are based on just bags of features (W, POS, W+POS) perform poorly showing negative or no improvement over the baseline. Interestingly, we notice that introducing a relational REL tag to mark the matched nodes in the question and its answer (models: POS+REL, W+POS+REL) provides a small increase in accuracy compared to the models that do not exploit such relational information. Even for completely unstructured features, relational nodes can serve as a useful cue to discriminate between the correct and incorrect question-answer pairs. Surprisingly, the model combining all of the features including additional features from NER and WSSN taggers (W + POS + NER + WSSN + REL) results in severe over-fitting. After performing manual inspection, we concluded that, since the search engine (SE) already sets a very strong baseline for this QA collection (MRR: 71.63% and REC1@1: 59.14%), using features similar to those of

<sup>11</sup><http://terrier.org/>

<sup>12</sup><http://www-03.ibm.com/innovation/us/watson/index.html>

<sup>13</sup><http://www.j-archive.com>

**Table 1: Experiments with different models.**

MODEL	MRR	R1@1
BASELINE (BM25)	71.63	59.14
BAGS OF FEATURES		
W	68.23	54.83
POS	69.61	56.38
W+POS	69.79	56.78
W+REL	69.21	55.63
POS+REL	71.25	58.85
W+POS+REL	71.88	59.02
W+POS+NER+WNS+REL	65.66	52.01
SEQUENCES OF FEATURES		
POS (SK)	67.40	52.24
POS+REL (SK 1)	71.25	58.85
POS+REL (SK 2)	72.54	60.86
POS+REL (SK 3)	72.99	61.08
POS+REL (SK 4)	72.74	61.26
POS+REL (SK 5)	72.91	61.32
STRUCTURAL FEATURES		
POS+REL (STK)	71.99	59.08
POS+REL (PTK)	73.50	61.32
CH+REL (PTK)	75.14	63.68
CH+REL (STK)	73.09	63.52
TRANSLATION	71.55	59.01

the SE for re-ranking cannot provide improvement. Additionally, NER and WSNN taggers that assign words to very general categories are not helpful for discriminating between the similar answer passages retrieved by the SE.

In summary, the top part of the Table 1 provides a clear indication that using unstructured features gives little to no benefit for the re-ranker. To confirm this behavior does not change on larger data, we also carried out training on larger samples of the training set but observed essentially flat learning curves, which means that the models relying only on the bags of features do not improve with more data. **Linear sequence models.** In contrast, using SK applied to POS tags generates all possible skip n-grams. Coupled with the relational information captured by the REL marker (POS+REL) it provides interesting improvement over the baseline. At the same time, when applied on the sequence of POS-tags without relational information (POS), we observe no improvement. We also show the results using different values for the maximum subsequence length in SK: (SK 1), (SK 2), (SK 3), (SK 4), and (SK 5). For example, (SK 3) will consider all skip n-grams up to the length 3. The results show that complex features up to 5 elements provide the highest accuracy. This suggests that the modeled structures provide an adequate generalization level for SK to extract effective 5-grams (constituted by POS-tags and words).

**Structural features.** Next, we consider the application of structural kernels, e.g. STK and PTK, to shallow trees (POS+REL). We first note, that STK does not work well on shallow representations, due to its limited expressive power as discussed in Section 3.2.1. Conversely, PTK that generates all possible tree fragments is able to pick up the relevant features showing significant improvement over the baseline. To make STK more efficient and effective, we consider chunked representation, which allows STK to generate richer feature sets. Indeed, STK on CH+REL gets a higher accuracy.

**Translation-based features.** To compare with previous work, e.g., [15, 35], we experimented with question-to-answer translation models that have been reported to provide one of the most important features for re-ranking question-answer

**Table 2: Chunk models with different levels of pruning (“-” is no pruning, time is in minutes).**

MODEL	RAY	TRAIN	TEST	MRR	R1@1
SK					
POS+REL	0	3	2	72.9	61.3
POS+REL	1	6	6	72.7	61.0
POS+REL	-	5	3	72.9	61.3
PTK					
POS+REL	0	19	8	74.1	62.1
POS+REL	1	40	16	74.7	63.4
POS+REL	-	50	20	74.7	63.4
CH+REL	0	27	16	75.0	63.6
CH+REL+NER	0	13	7	73.6	61.5
CH+REL	1	39	23	74.2	62.1
CH+REL+NER	1	21	13	75.0	64.0
CH+REL+NER+WNS	1	18	11	73.4	61.3
CH+REL	2	53	46	74.3	62.3
CH+REL	-	90	61	75.1	63.7
STK					
CH+REL	0	4	4	73.4	60.9
CH+REL+NER	0	3	13	71.9	59.0
CH+REL	1	7	6	73.7	61.1
CH+REL+NER	1	5	5	73.5	61.9
CH+REL+NER+WNS	1	5	6	72.5	59.8
CH+REL	2	10	12	73.8	61.8
CH+REL	-	13	13	73.1	60.5

pairs. Translation-based models exploit word translation probabilities to compute a similarity score between a question Q and an answer A:  $sim(Q, A) = \prod_{q \in Q} P(q|A)$ . The word translation probabilities can be learnt using word alignment toolkits such as Giza++<sup>14</sup> or Berkeley Aligner<sup>15</sup>. To build word translation probabilities we used Berkeley Aligner without any parameter tuning except for increasing the default number of iterations from 2 to 10. To train the word alignment model we used 70% of the data reserved for the training and then used the obtained alignment model to compute the similarity score between a question and the answer for both training and test re-ranking datasets. We added translation features to all the models mentioned in this section and observed little to no improvement independent of the dataset size used for training a reranker. This suggests that only structural features extracted by STK and PTK from POS+REL and CH+REL structures exhibit high discriminative power.

## 5.4 Efficiency analysis - large scale learning

As discussed in Sec. 4.2 pruning is an effective way to reduce the size of a tree by removing less pertinent nodes. This is essential to speed up kernel evaluations, which would allow for better scaling to larger data. The study of runtime savings for different levels of pruning is presented in Table 2.

We first observe that applying pruning with rays  $\in \{0, 1\}$  on POS+REL trees for both SK and PTK results in runtime speedups up to 2x. A similar picture is observed for the CH+REL tree representations. Interestingly, models with the most aggressive pruning using ray=0, such that no context nodes are preserved, demonstrate slightly higher accuracy than other models with softer levels of pruning. This can be explained by the fact that keeping additional context nodes around the relational nodes produces richer fea-

<sup>14</sup><http://code.google.com/p/giza-pp/>

<sup>15</sup><http://code.google.com/p/berkeleyaligner/>



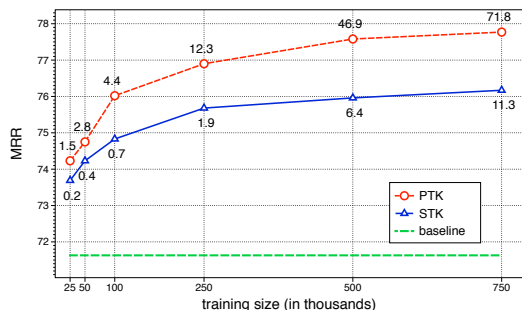


Figure 11: Learning curve for MRR using PTK and STK. Labels along the curves correspond to the training time in hours.

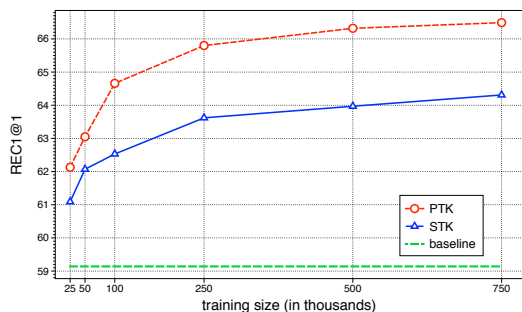


Figure 12: Learning curve for REC1@1 using PTK and STK.

ture spaces (especially with PTK), which may result noisy on relatively small datasets. Hence we studied the learning curves for these models on 50k and 100k training sets and found that CH+REL with pruning at ray=1 provided the steepest learning curve, while maintaining the optimal tradeoff between the training time and accuracy. We also prefer a simpler CH+REL model, which only requires to perform POS-tagging and chunking, over more refined models with NER and WNSS tags, which require additional pre-processing. Thus, we build learning curves for the CH+REL models using STK and PTK reporting MRR (Fig. 11) and REC1@1 (Fig. 12). The plots demonstrate nice scaling behavior when training CH+REL re-ranker model on larger data. For example, the PTK-based rerankers improve BM25 by about 6 absolute points in MRR, i.e., 71.6 vs. 77.8, and about 7 points in R1@1, i.e., 59.1 vs. 66.5, for a relative error reduction of about 18-20% in R1@1.

## 5.5 Jeopardy! experiments

Since the size of Jeopardy! dataset does not allow for building a meaningful learning curve we report the plot of R1@ $x$ , which measures the percentage of questions with at least one correct answer in the first  $x$  positions. We experimented with PTK applied to CH+REL structures also encoding NER and WNSS. Figure 13 shows that for any rank position, the simplest model outperforms semantic models. Most importantly, the Primary Search of Watson is improved up to 5 points for an error reduction of 20%.

## 6. CONCLUSIONS AND FINAL REMARKS

The key aspect in learning to rank answer passages for QA systems is the use of relationships between the question and the supporting passages of its answer candidates.

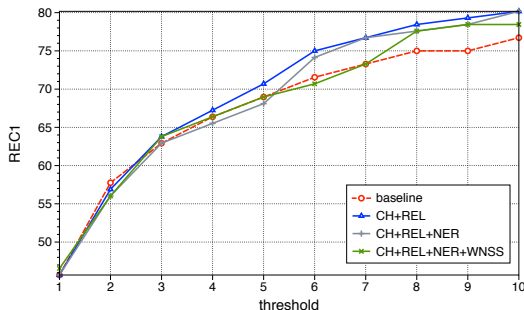


Figure 13: Recall of 1 at different rank positions for the Jeopardy! dataset.

Supervised methods can generalize the properties found in different question/answer pairs and use them to evaluate the validity of new candidates. In this perspective, the most difficult aspect is the design of relational features that can enable the learning algorithm to learn the properties above. In this paper, we propose robust and simple models to learn such properties from large datasets. On one hand, we use shallow syntactic and semantic (at lexical level) representations, which can be efficiently and automatically derived with high accuracy. On the other hand, we exploit the power of structural kernels for automatic engineering of a huge number of structural features. Applied to large training sets (hundreds of thousands) our models allow for efficient learning of complex question/answer relationships.

Our experiments with Support Vector Machines (SVMs) and various shallow models on two datasets: Answerbag and Jeopardy! show that: (i) bag-of-features of question and answer passages, ranging from words to POS-tags or translation probabilities are not effective; (ii) relational features, i.e., encoding pair properties, become effective only when used in structures, e.g. using SK; and (iii) the best compromise between efficiency and accuracy is given by the pure shallow syntactic tree structures as NER or WNSS may introduce noise. Additionally, large scale experiments show significant improvement - about 18-20% of reduction in Recall error, on two strong baselines for passage re-ranking, i.e., BM25 and IBM Watson primary search.

It should be noted that the above baselines are just the first module of QA systems. The latter at the end of their pipeline can produce better rank of passages (also exploiting the answer candidates). However, our rankers use orthogonal information with respect to QA systems, i.e., (i) similarity between relational pairs of questions and answer passages rather than between questions and their answer passages and (ii) complex patterns learned using supervised methods on large data. This kind of information will most likely improve any QA system: verifying this claim is one of our short-term future research directions.

## ACKNOWLEDGEMENTS

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